



OCT 14 2003

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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4P2816

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/092,789
Filing Date	March 7, 2002
First Named Inventor	Robert J. Hennick
Group Art Unit	2876
Examiner Name	Kim Ahshik
Total Number of Pages in This Submission	Attorney Docket Number 283-309.11

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i>	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i>
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i>
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	PTO/SB/17 Fee Transmittal 2003 (1 pg.); Information Disclosure Statement Under 37 CFR §1.97 and § 1.98 (2 pgs.); Form PTO/SB/08A (1 pg.); copy of 4 cited references: (AA-AD); Check for \$180.00; Certificate of First Class Mailing; and Return Postcard
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks	The Commissioner is authorized to charge any additional fees to Deposit Account No. 50-0289.	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Wall Marjama & Bilinski, LLP George S. Blasiak	Reg. No. 37,283
Signature		
Date	October 9, 2003	

CERTIFICATE OF MAILING

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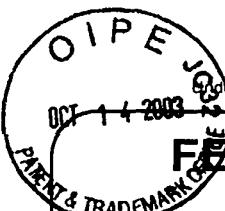
October 9, 2003

Typed or printed name	Tammy S. Senecal		
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	Date	October 9, 2003	

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FEES TRANSMITTAL for FY 2003

Effective 01/01/03. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 180.00)

Complete if Known

Application Number	10/092,789
Filing Date	March 7, 2002
First Named Inventor	Robert J. Hennick
Examiner Name	Kim, Ahshik
Group Art Unit	2876
Attorney Docket No.	283-309.11

METHOD OF PAYMENT (check all that apply)

Check Credit Card MoneyOrder Other None
 Deposit Account:

Deposit Account Number

50-0289

Deposit Account Name

Wall Marjama & Bilinski LLP

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Charge fee(s) indicated below Credit any overpayments
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 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1001	770	2001	385	Utility filing fee
1002	340	2002	170	Design filing fee
1003	530	2003	265	Plant filing fee
1004	770	2004	385	Reissue filing fee
1005	160	2005	80	Provisional filing fee

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims		Fee Paid
	Fees from below	Fee Paid	
	-20**	=	
Independent Claims			
	-3*	=	
Multiple Dependent		X	

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

Large Entity	Entity	Small Entity	Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)					

Reduced by Basic Filing Fee Paid SUBTOTAL (3)

(\$180.00)

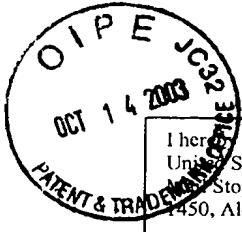
SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	George S. Blasiak	Registration No. (Attorney/Agent)	37,283	Telephone	(315) 425-9000
Signature				Date	October 9, 2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Attorney Docket No. 283-309.11

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Tammy S. Senecal
Tammy S. Senecal

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Robert J. Hennick, et al.

Application No.: 10/092,789

Filed: March 7, 2002

For: Optical Reader Imaging Module

Examiner: Kim, Ahshik

Art Unit: 2876

**INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98**

Mail Stop: Patent Application
Commissioner For Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. Copies of the references are enclosed.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

In addition to considering the art recited, the Examiner may wish to consider the Examiner's action in related application Serial No. 10/093,135 (Attorney Docket No. 283-309.14) application which previously cited two Information Disclosure Statements filed September 2, 2003 and August 27, 2003. The Office Action in application Serial No. 10/093,135 (Attorney Docket No. 283-309.14) application is similar to the Office Action of application Serial No. 10/092,789, filed March 7, 2002, (Attorney Docket No. 283-309.11) in the present application, and relies on many of the same references. In application Serial No. 10/093,135, Attorney Docket No. 283-309.14, Applicants inadvertently submitted claims that are

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duplicates of the present claims. In application Serial No. 10/093,135 (Attorney Docket No. 283-309.14) application, the Applicants have cancelled original claims and added the claims that should have been submitted on filing of that application. This Information Disclosure Statement will not be construed as a waiver of the right of secrecy pertaining to the file wrapper of application Serial No. 10/093,135 (Attorney Docket No. 283-309.14). In fact, in furtherance of protecting the secrecy of the file wrapper in application Serial No. 10/093,135 (Attorney Docket No. 283-309.14) application, Applicants merely reference Serial No. 10/093,135 (Attorney Docket No. 283-309.14) and refrain from filing in the present application papers of that application.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

A check for \$180 is enclosed, as the Applicant's believe there is a fee required for submission of this statement. Please deduct any additional fees from, or credit any overpayment to, the Deposit Account 50-0289.

Respectfully submitted,


George S. Blasiak
Reg. No. 37,283

GSB/ts

WALL MARJAMA & BILINSKI LLP
101 South Salina Street, 4th Floor
Syracuse, New York 13202
Tel: 315 425-9000
Fax: 315 425-9114
Date: October 9, 2003

Customer No. 20874



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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

<i>Complete If Known</i>	
Application Number	10/092,789
Filing Date	March 7, 2002
First Named Inventor	Robert J. Hennick
Art Unit	2876
Examiner Name	Kim, Ahshik
Attorney Docket Number	283-309.11

U.S. PATENT DOCUMENTS

Examiner Signature		Date Considered	
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¹EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ²Applicant's unique citation designation number (optional). ³See Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ⁴Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶

Applicant is to place a check mark here if English language Translation is attached.

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